



HONG KONG BANKNOTE SUBMISSION FORM

Collectors Universe (Hong Kong) Ltd.
 PCGS Hong Kong Submission Center
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HONG KONG SUBMISSION #

Authorized Dealer/Collectors Club Member Name: _____

PCGS Authorized Dealer/Collectors Club #: _____

Join the PCGS Collectors Club for USD 69 per year (You must be a PCGS Authorized Dealer or Collectors Club member to submit banknotes.)

ID CARD OR PASSPORT INFORMATION (print clearly)	PACKAGE INFORMATION: (Customer Must Provide)	
NAME (as written on your passport) _____	Total submission forms (orders) in this package: _____	
ID CARD OR PASSPORT # & COUNTRY _____	SUBMISSION RETURN (choose one) <input type="checkbox"/> Pick up at the PCGS Hong Kong Submission Center (by appointment only) A valid ID card or passport that matches the name/number on the submission form must be presented in order to pick up your banknotes. 11A-14, 11/F, Tower 3, China Hong Kong City, 33 Canton Road, Tsim Sha Tsui, Kowloon, HK <input type="checkbox"/> Ship directly to client. (Client must make prior arrangements with PCGS)	
LEGAL ADDRESS (for return shipping)		
NAME _____		
ADDRESS _____		
CITY _____ COUNTRY _____		
EMAIL _____	PCGS GOLD SHIELD GRADING USE ONLY ORDER # _____ Box _____ ITEM # _____ INV. TYPE CODE _____ Date Received _____	
PHONE (_____) _____		

A. TYPE OF SUBMISSION: (One per form) Grading Regrade Reholder (See reverse for definitions) **GRADING OPTIONS:** Standard Genuine Only

B. SERVICE LEVEL: (One per form)

Service Level	Max. Note Value (USD)	Fee Per Note (USD)	Service Level	Max. Note Value (USD)	Fee Per Note (USD)	Service Level	Max. Note Value (USD)	Fee Per Note (USD)
<input type="checkbox"/> Rarity	None	300	<input type="checkbox"/> Regular	3,000	45	<input type="checkbox"/> Modern (1961 to Date)	300	16
<input type="checkbox"/> High Value	100,000	185	<input type="checkbox"/> Value	1,500	30	<input type="checkbox"/> Bulk* (Min. of 50 Notes, Same Type)	None	POR
<input type="checkbox"/> Premium	20,000	85	<input type="checkbox"/> Economy	300	18	<input type="checkbox"/> Reholder	None	10

*Contact PCGS Gold Shield Grading in Hong Kong for bulk pricing. For banknotes that require the use of an oversized holder (19.5cm x 21cm) there is an additional fee of USD 10 per banknote. Specimen, proof, essays and notes not listed in major catalogs (i.e. Standard Catalog of World Paper Money, Friedberg, Haxby, Criswell and etc.) must submit to Value or higher. Error notes and Small Commercial (private) Issue notes must submit to Value or higher, an additional fee of \$15 per note will apply. Variety plus fee and Mint Error fee are non-refundable.

C. COMPLETE DETAILED BANKNOTE INFORMATION

Qty.	Country	Catalog #	Date/Series	Denom.	Type (if applicable)	Serial Number/Pedigree (Pre-Approval Required)	Error Notes	Small Commercial Issue Notes	Oversized Holder USD 10 (if applicable)	Declared Value (Required)
0	1	China	212A	1936	1 Yuan	232819 — The Hall Collection	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	USD 100
1							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
8							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
9							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
10							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
11							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
12							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
13							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
14							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
15							<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SUBTOTALS:										

D. FEE CALCULATION: _____ x _____ = USD _____
 # of Banknotes Service Level Fee

E. ADDITIONAL FEES (where applicable):

*Oversized Holder (banknotes larger than 19.5cm x 21cm) _____ # of Banknotes x USD 10 per banknote . . . = USD _____
 *Error Notes _____ # of Banknotes x USD 15 per banknote . . . = USD _____
 *Small Commercial (private) Issue Notes _____ # of Banknotes x USD 15 per banknote . . . = USD _____
HANDLING FEE: USD 10 (per submission) . . . = USD 10

F. COLLECTORS CLUB MEMBERSHIP . . . = USD _____

G. TOTAL CHARGES: (add lines D-F) . . . = USD _____

H. METHOD OF PAYMENT:

All fees must be authorized before PCGS Gold Shield Grading can process your order. Failure to authorize all appropriate fees for your submission will delay your order. PCGS Gold Shield Grading reserves the right to correct the submission form to reflect current and appropriate Grading and Handling fees (if applicable).

Cash Wire Transfer (see reverse for details)
 Credit Card (Visa or MasterCard)
 Credit Card No. _____
 Exp. Date _____ CCV Code _____
 Cardholder Signature _____

I HAVE READ AND AGREE TO THE PCGS GOLD SHIELD GRADING TERMS AND CONDITIONS ON THE BACK OF THIS FORM AND I ACCEPT FULL RESPONSIBILITY FOR COMPLETELY AND ACCURATELY FILLING OUT THE SUBMISSION FORM. IF NOTES ARE SUBMITTED FOR SERVICES FOR WHICH THEY DO NOT QUALIFY, I AUTHORIZE PCGS GOLD SHIELD GRADING TO CORRECT THE ORDER AND CHARGE ANY ADDITIONAL GRADING AND HANDLING FEES (IF APPLICABLE).

AUTHORIZED SIGNATURE (REQUIRED)

Order will not be processed without signature

DATE

TOTAL DECLARED VALUE USD _____
 (from above) **Required**

PLEASE SEE REVERSE FOR SUBMISSION INSTRUCTIONS AND TERMS AND CONDITIONS

Customer Keeps Blue Copy

SUBMISSION INSTRUCTIONS

(Please read carefully. Following these directions will help expedite your order.)

1. Please print your name, dealer/club number, ID card or passport information, legal address, phone number and email address in the spaces provided. You must be a PCGS Authorized Dealer or Collectors Club member in order to submit banknotes. If you are not a Collectors Club member you may elect to join on this form for USD 69 per year.
2. Package Information (required) – Please provide the total number of orders in the package. If this is the only order you are submitting, the number should be one.
3. Section A – Select the Type of Submission. ONLY ONE TYPE OF SUBMISSION AT ONE SERVICE LEVEL IS PERMITTED PER SUBMISSION FORM.

DEFINITIONS

GRADING: For banknotes not encapsulated or graded by any grading service.

REGRADE: For banknotes previously certified by PCGS Gold Shield Grading that you feel might be worthy of a higher grade. Submit in the PCGS Gold Shield Grading holder. Banknotes submitted under the regrade service will be removed from the holder and regraded. If the banknote grades lower, the terms of the PCGS Gold Shield Grading guarantee will apply. For full disclosure on the PCGS Gold Shield Grading guarantee, visit www.PCGSasia.com/banknotes/guarantee.

REHOLDER: For the re-encapsulation of PCGS Gold Shield Grading certified banknotes. Any banknote submitted under the reholder service will not be regraded.

GRADING OPTIONS: Please select “Standard” to have your notes graded numerically or “Genuine only” for authentication only.

Currently PCGS Gold Shield Grading does not offer the Crossover service. Banknotes certified by other third-party grading companies, that are submitted to PCGS Gold Shield Grading, will be removed from their existing holder and graded as raw.

4. Section B – Select the Service Level by referring to the PCGS Gold Shield Grading Services and Fees. Please note the minimum requirements and restrictions within each service level.

5. Section C – List the details for each banknote in the following area.

- Quantity – List the total number of banknotes (per line). If you have multiple of the same banknote, you can list them on the same line.
- Country – List the Country where the banknote was issued.
- Catalog # – Provide the catalog number for each banknote.
- Date/Series – List the date or series of the banknote.
- Denomination – List the denomination of the banknote.
- Type – List the type of banknote here (if applicable).
- Serial Number and Pedigree – Notate the serial number and any pedigree requests here. For multiple notes with consecutive serial numbers, list the range of numbers. All Pedigree requests must be pre-approved. Error notes and Small Commercial (private) Issue notes must submit to Value or higher, an additional fee of \$ 15 per note will apply.
- Oversized Holder – For banknotes that require the use of an oversized holder (larger than 19.5cm x 21cm), there is an additional fee of USD 10 per banknote. Select the option in this area.
- Declared Value – Each banknote requires a declared value (per banknote). List the assigned value to the banknote in this area. NOTE: this value must coincide with the selected service level (from Section B).
- Subtotals – Calculate the subtotals for each column and list in this area (Oversized Holder Fee and Declared Value).

6. Section D – Fee calculation. List the number of banknotes multiplied by the service level fee and total on this line.

7. Section E – Additional Fees – If banknotes require the use of an oversized holder (see Section C), calculate the totals and list the fee in this area (where applicable).

Handling Fee – A Handling Fee of USD 10 is applied to each submission. Add this amount to the subtotal.

8. Section F – Collectors Club Membership. If you have selected the option to join the PCGS Collectors Club, add the USD 69 membership fee.

9. Section G – Total Charges. Add the charges from sections D-F and provide the total cost for the submission.

10. Section H – Method of Payment. Select the method of payment and provide payment details. The following options are accepted: Cash, Bank Wire Transfer or Credit Card (Visa or MasterCard). You must include payment or your order will not be processed. Sign on the space provided. For Wire Transfers, please use the following bank account information:

Company Name: COLLECTORS UNIVERSE (HONG KONG) LIMITED

Bank Name: Standard Chartered Bank Hong Kong Ltd.

Swift Code: SCBLHKHHXXX

USD Bank Account Number: 447-1-779498-1

HKD Bank Account Number: 447-1-779494-9

11. Read Terms and Conditions. Sign and date at the bottom of the form to accept the PCGS Gold Shield Grading Terms & Conditions (located below). Order will not be processed without signature. Keep blue copy for your records.

12. Place each banknote individually in a new mylar sleeve and wrap securely. Do not send banknotes with staples. Package banknotes and submission form carefully.

13. Submissions can either be delivered in person to the PCGS Gold Shield Grading Hong Kong Submission Center (by appointment only) or shipped directly to us. Mail all banknote submissions to PCGS Gold Shield Grading Hong Kong Submission Center Suite 11A-14, 11/F, Tower 3, China Hong Kong City 33 Canton Road, Tsim Sha Tsui, Kowloon, HK. Packages to PCGS Gold Shield Grading must be insured by the Sender. Completed submissions will be held at PCGS until picked up, unless return shipping has been previously arranged with PCGS Gold Shield Grading. Note: all terms and conditions are subject to change. Estimated turnaround times do not include mailing time and reflect business days.

IF MORE THAN ONE TYPE OF SUBMISSION OR SERVICE LEVEL IS INCLUDED ON ANY ONE FORM, YOU AUTHORIZE PCGS GOLD SHIELD GRADING TO SEPARATE THE ORDER FOR PROCESSING AND AGREE TO PAY ANY ADDITIONAL GRADING AND HANDLING FEES (IF APPLICABLE).

PCGS GRADING TERMS & CONDITIONS

By signing the front side of this form, Customer acknowledges that they have read the Collectors Universe (Hong Kong) Limited-PCGS Gold Shield Grading (“PCGS Gold Shield Grading”) Grading Terms and Conditions (the “Agreement”) set forth below and agrees to abide by this Agreement, and further agrees that PCGS Gold Shield Grading is entitled to rely upon and benefit from this Agreement.

1. PCGS Gold Shield Grading will endeavor to grade banknotes within the time frame, if any, offered as part of a PCGS Gold Shield Grading service. However, PCGS Gold Shield Grading will have no liability whatsoever to Customer for incidental or consequential damages due to PCGS Gold Shield Grading’s failure to grade any banknote within any time frame. All days are business days.

2. PCGS Gold Shield Grading will not grade banknotes that appear to be significantly altered or are of questionable authenticity. If PCGS Gold Shield Grading rejects any banknote for grading in accordance with PCGS Gold Shield Grading’s grading standards and procedures, PCGS Gold Shield Grading shall not refund the fee paid by Customer. Customer represents and warrants that it has no knowledge and no reasonable basis to believe that any banknote submitted for grading has been altered or Doctored in any way. For purposes of this Agreement, “Doctored” shall have the meaning set forth in the applicable PCGS Authorized Dealer Agreement or PCGS Collectors Club Agreement by and between Customer and PCGS (the “Customer Agreement”). If Customer has not entered into the Customer Agreement, Customer hereby agrees to be bound by the terms of the Customer Agreement, a copy of which can be found at www.PCGS.com, and Customer’s signature on this Agreement shall constitute Customer’s acceptance to be bound by the terms and conditions of Customer Agreement including its obligations with respect to Doctored banknotes set forth in the Customer Agreement. Customer warrants that all information provided herein is accurate and reliable and undertakes to promptly correct and update such information if Customer discovers any such information is inaccurate.

3. Grading involves individual judgments that are subjective and require the exercise of professional opinion, which can change from time to time. Therefore, PCGS Gold Shield Grading makes no warranty or representation and shall have no liability whatsoever to Customer for the grade assigned by PCGS Gold Shield Grading to any banknote, except pursuant to PCGS Gold Shield Grading’s Guarantee submission as set forth on PCGS Gold Shield Grading’s website at www.PCGSasia.com/banknotes/guarantee which by signing this agreement the Customer acknowledges he has read and accepts the terms and conditions of the PCGS Gold Shield Grading Guarantee.

4. PCGS Gold Shield Grading will exercise reasonable care in handling banknotes submitted for grading, regrading or reholdding. However, if PCGS Gold Shield Grading determines that the Customer’s banknote was lost or damaged while in PCGS Gold Shield Grading possession, Customer

will be compensated based upon the fair market value of the banknote as determined by PCGS Gold Shield Grading standard procedures which may include filing a claim with our insurance carrier. The declared value you stated on the front of this form is for estimating the insurance coverage only, and the fair market value of the banknote may be less than your declared value. IN NO EVENT SHALL THE TOTAL LIABILITY EXCEED THE DECLARED VALUE OF THE BANKNOTE.

5. Customer must inspect all banknotes immediately upon receipt and PCGS Gold Shield Grading disclaims any liability for damage or discrepancies or errors, including but not limited to errors in the description of the banknote, unless reported to PCGS Gold Shield Grading within five (5) days of Customer’s receipt of the banknote(s). Customer agrees to return any incorrectly described banknote to PCGS Gold Shield Grading upon request for correction and agrees to indemnify and hold PCGS Gold Shield Grading harmless from any and all losses and/or claims caused by the circulation or sale of any incorrectly described banknote. 6. Customer agrees (a) to pay to PCGS Gold Shield Grading all fees and other charges when due; (b) that all fees are exclusive of local taxes (c) that any delinquent balances shall accrue interest at the rate of 10% per year until paid (or, if less, the maximum interest rate permitted by applicable law); and (d) that PCGS Gold Shield Grading shall have a security interest in the banknotes submitted, as well as in any other property of Customer in the possession of PCGS Gold Shield Grading or its affiliates (collectively, the “Property”), to secure payment thereof. Customer hereby grants to PCGS Gold Shield Grading an assignment of and lien against the Property in the amount of any fees and other charges due and payable pursuant to the terms of this Agreement. Customer hereby authorizes PCGS Gold Shield Grading to file, at any time on or after the date such fees and other charges become due, appropriate uniform commercial code financing statements in such jurisdictions and offices as PCGS Gold Shield Grading deems necessary in connection with the perfection of a security interest in the Property.

7. PCGS Gold Shield Grading shall have no liability whatsoever to Customer, or any third party for whom Customer may be acting, for (i) any personal injury or (ii) for any damage to any banknote, or otherwise, that Customer is unable to demonstrate was attributable primarily to an act or omission to act by PCGS Gold Shield Grading while the banknote was in its possession and control, except for any damage to a banknote resulting from the breaking open by PCGS Gold Shield Grading of a PCGS Gold Shield Grading or other banknote holder in which the banknote may have been submitted for grading to PCGS Gold Shield Grading.

8. Note all terms and conditions are subject to change. Turnaround times do not include mailing time and reflect business days.

9. Except as expressly set forth herein to the contrary, PCGS Gold Shield Grading DISCLAIMS ANY AND ALL WARRANTIES, EXPRESSED OR IMPLIED, REGARDING PCGS GOLD SHIELD GRADING GOODS AND SERVICES, INCLUDING BUT NOT LIMITED TO THE WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE. 10. Notwithstanding anything to the contrary contained herein, except with respect to the remedies under the PCGS Gold Shield Grading Guarantee of Grade and Authenticity set forth at www.PCGSasia.com/banknotes/guarantee (the “Guarantee”) and as set out in paragraph 4 above in connection with the loss or damage to a banknote while in the possession of PCGS Gold Shield Grading THE MAXIMUM AGGREGATE LIABILITY THAT PCGS GOLD SHIELD GRADING SHALL HAVE TO CUSTOMER, OR ANY THIRD PARTY FOR WHOM THE CUSTOMER MAY BE ACTING, ARISING FROM ANY CAUSE, ACT, OMISSION OR OTHER CIRCUMSTANCE, SHALL IN NO EVENT EXCEED THE GRADING FEE OR AMOUNT ACTUALLY PAID BY CUSTOMER FOR THE GRADING SERVICES RENDERED BY PCGS GOLD SHIELD GRADING WITH RESPECT TO THE BANKNOTES SUBMITTED FOR GRADING HEREUNDER. IN NO EVENT SHALL PCGS GOLD SHIELD GRADING OR ANY OF ITS AFFILIATES, OR ANY OF ITS OR THEIR RESPECTIVE EMPLOYEES, OFFICERS, DIRECTORS OR AGENTS, BE LIABLE TO CUSTOMER OR ANY OTHER PARTY FOR ANY INDIRECT, INCIDENTAL, SPECIAL, CONSEQUENTIAL OR EXEMPLARY DAMAGES, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.

11. In the ordinary course of its grading operations, PCGS Gold Shield Grading (i) compiles data regarding each banknote submitted for grading, including, but not limited to, data relating to the identity, minting, condition and grade of the banknote (the “Data”); and (ii) may take, or have taken, one or more digital or other types of photographs, images or reproductions of each such banknote (collectively, the “Images”). In consideration for the grading services being provided by PCGS Gold Shield Grading, Customer, on behalf of itself and any third party for whom Customer may be acting, hereby authorizes PCGS Gold Shield Grading (i) to compile and maintain such Data with respect to each banknote submitted hereunder for grading; and (ii) to take, or cause to be taken, one or more Images of each such banknote, and further agrees that PCGS Gold Shield Grading will be the owner of such Data and all such Images and that PCGS Gold Shield Grading may use and exploit such Data and the Images for commercial and any other purposes, as PCGS Gold Shield Grading in its sole discretion deems appropriate, including, but not limited

to, the publication and republication or reproduction in or on any media, of such Data and Images. Without limiting the generality of the foregoing, Customer, on behalf of itself and any third party for whom Customer may be acting with respect to this agreement, unconditionally and irrevocably transfers, conveys and assigns to PCGS Gold Shield Grading any and all current and any hereafter acquired rights, title and interests (including, without limitation, rights in copyright, patent, trade secret and trademark) that Customer or any such third party may have in or to the Data and the Images (on whatever media or in whatever form such Images may be reproduced or published).

12. If any banknotes are being submitted for a third party, Customer represents and warrants that such third party has agreed and accepted this Agreement and has signed a duplicate copy hereof where indicated. Customer agrees to provide that third party-signed copy to PCGS Gold Shield Grading at any time upon its request.

13. This Agreement is governed and construed in accordance with the laws of the Hong Kong Special Administrative Region. The parties hereby irrevocably submit to the exclusive jurisdiction of the courts of the Hong Kong Special Administrative Region. Customer accepts and agrees not to challenge the jurisdiction or venue of such court or assert that it is not a convenient forum.

14. The terms and provisions in this Agreement and the Customer Agreement, if applicable, constitute the entire agreement of PCGS Gold Shield Grading and Customer (and any third party for whom Customer may be acting) regarding, and supersede all prior agreements and understandings (written or oral) between or among such parties relating to, the subject matter hereof. Except for the dispute resolution provision set forth in Section 24 of the PCGS Authorized Dealer Agreement (which shall control over Section 13 of this Agreement), if it is determined that there are any inconsistencies between this Agreement and the Customer Agreement, then this Agreement shall control. If any term or provision of this Agreement is determined, by a final and non-appealable ruling or order of a court of competent jurisdiction, to be invalid or unenforceable under applicable law, such invalidity or unenforceability shall not affect the validity or enforceability of any of the other of the terms or provisions of this agreement. Each party shall execute and deliver such additional documents and instruments as any other party may request to better evidence or effectuate the agreements contained herein, including the assignment of rights set forth in Section 11, and procedures, and further agree that PCGS Gold Shield Grading is entitled to rely upon and benefit from those terms and procedures.

15. If it is determined that there are any inconsistencies between this agreement and the English language version of this agreement, then the English language version shall control.

CUSTOMER: SIGN FRONT OF FORM