PCGS ASIA BANKNOTE SUBMISSION FORM



(PLEASE WRITE LEGIBLY - PCGS WILL NOT BE RESPONSIBLE FOR INCOMPLETE OR INACCURATE ORDERS. FAILURE TO COMPLETE SUBMISSION FORM WILL DELAY ORDER.)

SUBMISSION

Collectors Universe (Hong Kong) Ltd. PCGS Hong Kong Submission Center Suite 11A-14, 11/F, Tower 3. China Hong Kong City 33 Canton Road, Tsim Sha Tsui, Kowloon, HK +852 2194 6688 • PCGSasia.com • info@PCGSasia.com											Join the PCGS Collectors Club (USD69) (You must be a PCGS Authorized Dealer or Collectors Club member to submit.)						PCGS USE ONLY		
	+852 2194 6688 • PCGSasia.com • info@PCGSasia.com Authorized Dealer or Member Name / Number:																		
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										S (FOR RET		'ING)	*You must choose		N RETUR		ITEM #		
NAME (as written on your Passport /ID) PASSPORT/ID NUMBER & COUNTRY Submission Center (by appointment)														-	ΠΕΙΝΙ Ή				
													Submission Center (by appointment only) A valid ID card or passport that matches the name/				INV TYPE		
ADDRESSCITYC								CULINITE					number on the submission form must be presented in order to pick up your coins.						
1	I I _ HONE		<u> </u>			EMA		_ GOONTHI						ectly to client prior arrangements with PCGS)		h PCGS)	DATE RECEIVED		
			YPE (ONE	PER FORM)	LIVIA	IL				4	GENUINE OPTION		<u> </u>					
		GRADIN	NG [REGRADI			SOVEF		REHO	STANDARD (DEFAULT) GENUINE ONLY									
	_		EVEL (ONE																
SERVICE LEVEL TRARITY			MAX. NOTE V. NONI	200 (+1% GP)			SPECIAL ISSUES**			<u>ote value (usd) </u>		RADING FEE (USD) 35	SERVICE LEVEL BULK CONSECUTIVE			(USD) GRADING FEE (USD) 12			
HIGH VALUE			25,00		185			VALUE			1,000		30	F	BULK* (MIN. 50 NOTES, S.				
	PREMIUM		10,000		85		_	ECONOMY (PRE 1960)			300		20	REHOLDER		25,00			
REGULAR			2,500		45			MODERN (1960 to date)			300 18		18	HIGH VALUE REHOLI		REHOLD	ER NON	E 200	
OTHER:																			
6	BA	NKNUT	E DETAILS					ADD-ON SERVICES		OVERSIZED		FOR REGRA	DES AND CROSSOVERS	ONLY					
NO.	QTY.	COUNTRY	CATALOG #	DATE/ SERIES	DENOM.	TYPE (If applicat	ble)	FIRST PRINT	FIRST Day of Issue	HOLDER If applicable	GRADE	CERTIF	FICATION NUMBER	'	MIN GRADE	SERIAL (Pre-A	NUMBER/PEDIGREE Approval Required)	DECLARED VALUE (REQUIRED)	
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TOTAL # OF BANKNOTES:															TOTA	AL DECLARED VALUE \$			
					TO	OTAL ADD-ON F	EES:	— X\$5 —	– X\$5 –	X \$10 —									
7 FEE CALCULATION All fees must be authorized before PCDS can process your order. Failure to authorize and order PCDS reserves the right to correct the submission form to reflect current and a								authorize all appropriat rent and appropriate Gi	te fees for your sub rading and andlir	bmission will delay your ng fees (if applicable)	8 PAY	MENT N	METHOD (REQ	UIRE	D)				
FEE DESCRIPTION #0F NOTES × F							S FE	E (USD)	TOT <i>F</i>	AL (USD)	CASH WIRE TRANSFER (SEE REVERSE FOR DETAILS) CREDIT CARD (VISA OR MASTERC							(VISA OR MASTERCARD)	
SERVICE LEVEL FEE											CREDIT CA	RD NUMI	BER						
ADD-ON FEES											CREDIT CARD NUMBER CCV NUMBER								
SPECIAL LABEL USD 5/NOTE									CARDHOLDER SIG				GNATURE						
COLLECTORS CLUB MEMBERSHIP USD 69/) 69/YEAR			9 AUTHORIZED SIGNATURE / TERMS & CONDITIONS 1 HAVE READ AND AGREE TO THE PCGS GRADING TERMS AND CONDITIONS ON THE BACK OF THIS FORM AND I ACCEPT FULL RESPONSIBILITY FOR COMPLETELY								
HANDLING FEE									USD 10/FORM			THAY EAR AND ARD ARD THE FOOD GRADUITO TERMS AND CONDITIONS ON THE SOLAR OF THIS FORM THE TOTAL RESPONSIBILITY ON COMPLETE. AND ACCURATELY FILLING OUT THE SUBMISSION FORM. IF BANKNOTES ARE SUBMITTED FOR SERVICES FOR WHICH THEY DO NOT QUALIFY, SIGNATURE BELOW AUTHROIZES PCGS TO CORRECT THE ORDER AND CHARGE ANY ADDITIONAL GRADING, GUARANTEE PREMIUM, HANDLING AND SHIPPING FEES THAT MAY APPLY.							
†GUARANTEE PREMIUM (196 OF EST. NOTE VALUE)																			
ES	STIMAT	TED TOTAL (CHARGES								AUTHORIZED SIGNATURE (REQUIRED) ORDER WILL NOT BE PROCESSED WITHOUT SIGNATURE DATE								

SUBMISSION INSTRUCTIONS

(Please read carefully. Following these directions can help expedite your order.) This submission form is for banknotes submitted through the PCGS Asia office only.

Please print your name, dealer/club number. You must be a PCGS Authorized Dealer or Collectors Club member to submit coins. If you are not a Collectors Club member you may elect

Collectors club member to submit coins. In you are not a Collectors club member you may elect to join on this form for USD 69 per year.

2. Passport/ID Information/Legal Address - Please print your legal address and ID or passport information, email address and phone number in space provided.

Submission Return - Select the method for the return of your submission. Submissions may

be picked up at the PCGS Hong Kong Submission Center by appointment only. Should you wish to have your coins shipped directly to you, arrangements must be made in advance with

PCGS Asia. Additional shipping fees may apply.
Select Type of Submission desired. ONLY ONE TYPE OF SUBMISSION AT ONE SERVICE LEVEL IS PERMITTED PER SUBMISSION FORM.

3. Service Type - Select one service type.

GRADING: For banknotes not encapsulated or graded by any grading service.

Banknotes graded below the minimum acceptable grade will not be holdered;

however, the full grading fee will be charged.

• REGRADE: For banknotes previously certified by PCGS Banknote, PCGS Gold Shield Grading, or PCGS Banknote Grading that you feel might be worthy of a higher grade. Submit in the PCGS Banknote, PCGS Gold Shield Grading, or PCGS Banknote Grading holder. Banknotes submitted under the regrade service will be removed from the holder and regraded. Grading fees will apply whether the banknote upgrades or not. If the banknote grades lower, the terms of the PCGS Banknote Grading guarantee will apply. For full disclosure on the PCGS Banknote Grading guarantee, visit www.PCGSasia.com/banknotes/guarantee.

• CROSSOVER: For a banknote previously graded (currently encapsulated) by another grading service. PCGS Banknote will holder the banknote only if it meets or exceeds your specific service. "Not beamfact will induce the ballishood will in threets of secession of services and the "Minimum Grade" column on the front of the form. Grading fees will apply whether the banknote crosses or not. Please specify one of the options below in the "Minimum Grade" column on the front of the form. If you leave this area blank, PCGS will assume the minimum grade is the grade currently on the holder.

Minimum Grade Options:
 CURRENT – Requests banknote to cross at grade listed on holder.

• ANY – Requests banknote to cross at any numerical grade (01 – 70).
• OTHER GRADE – If you would like to specify a different grade than listed on the

holder, write your minimum acceptable numerical grade. Note the following limitations apply: First Print or any equivalent will not be recognized. Banknotes that are currently encapsulated by other grading services may have issues that are not discovered until the banknotes are removed from their current holders. Therefore, PCGS Banknote cannot guarantee that a submitted banknote presently encapsulated by another service will not, upon removal from its holder, downgrade due to problems not seen on the note prior to its decapsulation. By submitting a banknote to the crossover service, the submitter agrees that PCGS Banknote is not liable for changes made to grade,

attribution and authenticity as determined by PCGS Banknote experts.

• REHOLDER: For the re-encapsulation of PCGS Banknote, PCGS Gold Shield Grading, or PCGS Banknote Grading certified banknotes. Any banknote submitted under the reholder service will

GUARANTEE RESUBMISSION: If you have a PCGS Gold Shield or PCGS Banknote-graded banknote that you feel is overgraded, misattributed, or counterfeit, submit the banknote through PCGS Banknote through the Guarantee Resubmission Service. A fee of USD 25 per banknote must accompany all submissions through this service. If your banknote is downgraded, the terms of the PCGS Banknote Guarantee will apply and all fees and charges related to the Guarantee Resubmission will be refunded. Additional guarantee terms and conditions apply. Please visit www.PCGSasia.com/banknotes/guarantee for more information.

4. Genuine Option: Please select "Standard" to have your notes graded numerically or

"Genuine only" for authentication only, no grading. 1. Standard (Default), 2. Genuine Only FEES: Full grading fee will be charged with either selection.

5. Service Level - Select only one service level per form. Service level is based on the declared value per item listed. The individual declared values cannot exceed the maximum value for the service level that is selected. Please note minimum requirements and restrictions within each service level.

• **All specimen, proof, error, and small commercial (private) issue notes must be submitted under the Special Issues service level or higher.

All Banknotes submitted under the Economy service level must be dated prior to 1960.
 Banknotes submitted under the Modern service level must be dated 1960 to present.

*A minimum of 25 consecutive banknotes are required to submit through the Bulk Consecutive service level.

*For those wishing to submit 50 or more non-consecutive banknotes, please contact Business Development for more information at info@PCGSasia.com

Development for more mormation at Innoger Cusasia.com
FGUARANTEE PREMIUM: If you select Rarity service level, add additional fee equal to 1% of
the value of the coin in its final grade. The value of the banknote is determined by the PCGS
Price Guide value for that grade or the Declared Value of the coin, if the Price Guide value is not
available. The minimum amount for the Guarantee Premium is USD 5. PCGS reserves the right to make the final determination of the banknote's value. **6. Banknote Details** - List the details for each banknote in the following area.

Quantity – List the total number of banknotes (per line). If you have multiple of the same banknote, you can list them on the same line.
 Country – List the Country where the banknote was issued.
 Catalog # – Provide the catalog number for each banknote.

Date/Series – List the date or series of the banknote. **Denomination** – List the denomination of the banknote.

• Type – List the type of banknote here (if applicable). • Serial Number & Pedigree – Notate the serial number and any pedigree requests here. For multiple notes with consecutive serial numbers, list the range of numbers. All Pedigree requests must be pre-approved. Specimen, error, proof and small commercial (private) issue notes must be submitted to the Special Issues level or higher.

 Declared Value – Each banknote requires a declared value (per banknote). List the assigned value to the banknote in this area. NOTE: this value must coincide with the selected service level. If no value is assigned, you authorize PCGs to assign a USD 50 value per banknote.

Additional / Add-On Services

- Special Insert (Add USD 5 per banknote)

- First Day of Issue (Add USD 5 per banknote)

- First Print (Add USD 5 per banknote)

Oversized Holder (Add USD 10 per banknote) – Required for banknotes that require the use of an oversized holder (larger than 19.5cm x 21cm).

7. Fee Calculation - Calculate all appropriate fees for the submission. This includes any additional services and fees (First Day of Issue, oversized holder etc.). All fees are estimated until completion of the submission. GUARANTEE PREMIUM: If you select Rarity service level, add additional fee equal to 1% of the value of the banknote in its final grade. The value of the banknote is determined by the PCGS Price Guide value for that grade or the Declared Value of the banknote, if the Price Guide value is not available. The minimum amount for the Guarantee Premium is USD 5. PCGS reserves the right to make the final determination of the banknote's value. Total Charges. Add the charges and provide the total cost for the submission.

8. Method of Payment - Select the method of payment and include payment information. You must include payment, or your order will not be processed. For Wire

Transfers, please use the following bank account information:

Company Name: COLLECTORS UNIVERSE (HONG KONG) LIMITED

Bank Name: Standard Chartered Bank Hong Kong Ltd.

Swift Code: SCBLHKHHXXX

USD Bank Account Number: 447-1-779498-1

HKD Bank Account Number: 447-1-779494-9

9. Terms & Conditions - Sign and date the bottom of the form after reading the Terms & Conditions below. Order will not be processed without signature. Keep the blue copy for your records. Place each banknote individually in a new mylar sleeve and wrap securely. Do not send banknotes with staples. Package banknotes and submission form carefully. Submissions can either be delivered in person to the PCGS Banknote Grading Hong Kong Submission Center (by appointment only) or shipped directly to us. Mail all banknote submissions to PCGS Banknote Grading Hong Kong Submission Center Suite 11A-14, 11/F, Tower 3, China Hong Kong City 33 Canton Road, Tsim Sha Tsui, Kowloon, H.K. Packages to PCGS must be insured by the Sender.

Completed submissions will be held at PCGS until picked up, unless return

Completed submissions will be relied at PC25 at the picked up, driless fetuli shipping has been previously arranged with PCCS.

Note: all terms and conditions are subject to change. Estimated turnaround times do not include mailing time and reflect business days. IF MORE THAN ONE TYPE OF SUBMISSION ON SERVICE LEVEL IS INCLUDED ON ANY ONE FORM, YOU AUTHORIZE PCGS BANKNOTE TO SEPARATE THE ORDER FOR PROCESSING AND AGREE TO PAY ANY ADDITIONAL GRADING, GUARANTEE PREMIUM, AND HANDLING FEES (IF APPLICABLE).

PCGS GRADING TERMS & CONDITIONS

By signing the front side of this form, Customer acknowledges that they have read the Collectors Universe (Hong Kong) Limited-PCGS ("PCGS") Grading Terms and Conditions (the "Agreement") set forth below and agrees to abide by this Agreement, and further agrees that PCGS is entitled to rely upon and benefit from this Agreement.

1. PCGS will endeavor to grade banknotes within the time frame, if any, offered as part of a PCGS grading service. However, PCGS will have no liability whatsoever to Customer for incidental or consequential damages due to PCGS's failure to grade any banknotes within any time frame. All days are business days.

2. PCGS Banknote Grading will not grade banknotes that appear to be significantly altered or are of questionable authenticity. If PCGS rejects any banknote for grading in accordance with PCGS grading standards and procedures, PCGS shall not refund the fee paid by Customer. Customer represents and warrants that it has no knowledge and no reasonable basis to believe that any banknote submitted for grading has been altered or Doctored in any way. For purposes of this Agreement, "Doctored" shall have the meaning set forth in the applicable PCGS Authorized Dealer Agreement or PCGS Collectors Club Agreement by and between Customer and PCGS (the "Customer Agreement"). If Customer has not entered into the Customer Agreement, Customer hereby agrees to be bound by the terms of the Customer Agreement, a copy of which can be found at www.PCGS.com, and Customer's signature on this Agreement shall constitute Customer's acceptance to be bound by the terms and conditions of Customer Agreement including its obligations with respect to Doctored banknotes set forth in the Customer Agreement. Customer warrants that all information provided herein is accurate and reliable and undertakes to promptly correct and update such information if Customer discovers any such information is inaccurate.

3. Grading involves individual judgments that are subjective and require the exercise of professional opinion, which can change from time to time. Therefore, PCGS makes no warranty or representation and shall have no liability whatsoever to Customer for the grade assigned by PCGS to any banknote, except pursuant to PCGS's Guarantee resubmission as set forth on PCGS's website at www.pcgs.com which by signing this agreement the Customer acknowledges he has read and accepts the terms and conditions of the PCGS Guarantee.

4. PCGS will exercise reasonable care in handling banknotes submitted for grading, regrading or reholdering. However, if PCGS determines that the Customer's banknote was lost or damaged while in PCGS possession, Customer will be compensated based upon the fair market value of the banknote as determined by PCGS standard procedures which may include filing a claim with our insurance carrier. The declared value you stated on the front of this form is for estimating the insurance coverage only, and the fair market value of the banknote may be less than your declared value. IN NO EVENT SHALL THE TOTAL LIABILITY EXCEED THE DECLARED VALUE OF THE BANKNOTE.

5. Customer must inspect all banknotes immediately upon receipt and PCGS disclaims any liability for damage or discrepancies or errors, including but not limited to errors in the description of the banknote, unless reported to PCGS within five (5) days of Customer's receipt of the banknote(s). Customer agrees to return any incorrectly described banknote to PCGS upon request for correction and agrees to indemnify and hold PCGS harmless from any and all losses and/or claims caused by the circulation or

sale of any incorrectly described banknote.

6. Customer agrees (a) to pay to PCGS all fees and other charges when due; (b) that all fees are exclusive of local taxes (c) that any delinquent balances shall accrue interest at the rate of 10% per year until paid (or, if less, the maximum interest rate permitted by applicable law); and (d) that PCGS shall have a security interest in the banknotes submitted, as well as in any other property of Customer in the possession of PCGS Banknote or its affiliates (collectively, the "Property"), to secure payment thereof. Fees paid to PCGS are NON-REFUNDABLE once the item begins the grading process. Customer hereby grants to PCGS an assignment of and lien against the Property in the amount of any fees and other charges due and payable pursuant to the terms of this Agreement. Customer hereby authorizes PCGS to file, at any time on or after the date such fees and other charges become due, appropriate uniform commercial code financing statements in such jurisdictions and offices as PCGS deems necessary in connection with the perfection of a security interest in the Property. If PCGS determines, in its sole discretion and at any point in the process, that the submitted Declared Value has been understated relative to the market value of the item, or the submission form provided by the customer has been incorrectly or incompletely filled out, PCGS reserves the right to adjust the PCGS Grading Fees (including any Shipping or Handling fees) accordingly. Customer acknowledges and agrees that PCGS may adjust/ increase any fees as necessary without explicit customer notification. 7. PCGS shall have no liability whatsoever to Customer, or any third party for whom Customer may be acting, for (i) any personal injury or (ii) for any damage to any banknote, or otherwise, that Customer is unable to

demonstrate was attributable primarily to an act or omission to act by PCGS while the banknote was in its possession and control.

8. Note all terms and conditions are subject to change. Turnaround times do not include mailing time and reflect business days.

9. Except as expressly set forth herein to the contrary, PCGS DISCLAIMS ANY AND ALL'WARRANTIES, EXPRESS OR IMPLIED, REGARDING PCGS GOODS AND SERVICES, INCLUDING BUT NOT LIMITED TO THE WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE

10. Notwithstanding anything to the contrary contained herein, except with respect to the remedies under the PCGS Guarantee of Grade and Authenticity set forth at www.pcgs.com (the "Guarantee"), THE MAXIMUM aggregaté liability that PCGS shall have to customer, or any THIRD PARTY FOR WHOM THE CUSTOMER MAY BE ACTING, ARISING FROM ANY CAUSE, ACT, OMISSION OR OTHER CIRCUMSTANCE, SHALL IN NO EVENT EXCEED THE GRADING FEE OR AMOUNT ACTUALLY PAID BY CUSTOMER FOR THE GRADING SERVICES RENDERED BY PCGS WITH RESPECT TO THE BANKNOTES SUBMITTED FOR GRADING HEREUNDER. IN NO EVENT SHALL PCGS OR ANY OF ITS AFFILIATES, OR ANY OF ITS OR THEIR RESPECTIVE EMPLOYEES, OFFICERS, DIRECTORS OR AGENTS, BE LIABLE TO CUSTOMER OR ANY OTHER PARTY FOR ANY INDIRECT, INCIDENTAL, SPECIAL, CONSEQUENTIAL OR EXEMPLARY DAMAGES, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. 11. In the ordinary course of its grading operations, PCGS (i) compiles data regarding each banknote submitted for grading, including, but not limited to, data relating to the identity, minting, condition and grade of the banknote (the "Data"); and (ii) may take, or have taken, one or more digital or other types of photographs, images or reproductions of each such banknote (collectively, the "Images"). In consideration for the grading services being provided by PCGS, Customer, on behalf of itself and any third party for whom Customer may be acting, hereby authorizes PCGS (i) to compile and maintain such Data with respect to each banknote submitted hereunder for grading; and (ii) to take, or cause to be taken, one or more Images of each such banknote, and further agrees that PCGS will be the owner of such Data and all such Images and that PCGS may use and exploit such Data and the Images for commercial and any other purposes, as PCGS in its sole discretion deems appropriate, including, but not limited to, the publication and republication or reproduction in or on any media, of such Data and Images. Without limiting the generality of the foregoing, Customer, on behalf of itself and any third party for whom Customer may be acting with respect to this agreement, unconditionally and irrevocably transfers, conveys and assigns to PCGS any and all current and any hereafter acquired rights, title and interests (including, without limitation, rights in copyright, patent, trade secret and trademark) that Customer or any such third party may have in or to the Data and the Images (on whatever media or in whatever form such Images may be reproduced or published).

12. If any banknotes are being submitted for a third party, Customer represents and warrants that such third party has agreed and accepted this Agreement and has signed a duplicate copy hereof where indicated. Customer agrees to provide that third party-signed copy to PCGS at any time upon its request.

13. It is further agreed as follows: These Terms and Conditions

shall be governed and construed in accordance with the laws of the Hong Kong Special Administrative Region. The parties hereby irrevocably submit to the exclusive jurisdiction of the courts of the Hong Kong Special Administrative Region. Customer accepts and agrees not to challenge the jurisdiction or venue of such court or assert that it is not a convenient forum.

14. The terms and provisions in this Agreement and the Customer Agreement, if applicable, constitute the entire agreement of PCGS and Customer (and any third party for whom Customer may be acting) regarding, and supersede all prior agreements and understandings (written or oral) between or among such parties relating to, the subject matter hereof. Except for the dispute resolution provision set forth in Section 24 of the PCGS Authorized Dealer Agreement (which shall control over Section 13 of this Agreement), if it is determined that there are any inconsistencies between this Agreement and the Customer Agreement, then this Agreement shall control. If any term or provision of this Agreement is determined, by a final and non-appealable ruling or order of a court of competent jurisdiction, to be invalid or unenforceable under applicable law, such invalidity or unenforceability shall not affect the validity or enforceability of any of the other of the terms or provisions of this agreement. Each party shall execute and deliver such additional documents and instruments as any other party may request to better evidence or effectuate the agreements contained herein, including the assignment of rights set forth in Section 11, and procedures, and further agree that PCGS is entitled to rely upon and benefit from those terms and procedures. 15. If it is determined that there are any inconsistencies between

this agreement and the English language version of this agreement which is available at www.pcgs.com/Hong Kong, then the English language version shall control.

CUSTOMER: SIGN FRONT OF FORM.